

A Note on Variational Principle of Subsets for Nonautonomous Dynamical Systems

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Abstract

In this paper, we introduce measure-theoretic for Borel probability measures to characterize upper and lower Katok measure-theoretic entropies in discrete type and the measure-theoretic entropy for arbitrary Borel probability measure in nonautonomous case. Then we establish new variational principles for Bowen topological entropy for nonautonomous dynamical systems.

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1. Introduction

As an important invariant of topological conjugacy, the notion of topological entropy was introduced by Adler, Konheim and McAndrew [1] in 1965 [3]. Topological entropy is a key tool to measure the complexity of a classical dynamical system, i.e. the exponential growth rate of the number of distinguishable orbits of the iterates of an endomorphism of a compact metric space. In 1973, Bowen [2] introduced the topological entropy $h_{top}^B(T, Z)$ for any set Z in a topological dynamical system X , in a way resembling Hausdorff dimension, where X is a compact metric space and $T: X \rightarrow X$ is a continuous self-map. Bowen topological entropy plays a key role in topological dynamics and dimension theory [2]. In 2012, Feng and Huang [6] showed that there is certain variational relation between Bowen topological entropy and measure-theoretic entropy for arbitrary non-invariant compact set of a topological dynamical system (X, T) .

Following the idea of Brin and Katok [8], they defined the measure-theoretic entropy for Borel probability measure on X for their results.

In contrast with the autonomous discrete, in contrast with the autonomous discrete case [12], the properties of the entropies for the nonautonomous dynamical systems have not been fully investigated. In order to have a good understanding of the topological entropy of a skew product of dynamical systems (as we know that the calculation of its topological entropy can be transformed into that of its fibers), Kolyada and Snoha [4] proposed the concept of topological entropy in 1996 for a nonautonomous dynamical system determined by a sequence of maps.

A nonautonomous discrete dynamical system (in short: NADDS) is a natural generalization of classical dynamical systems, its dynamics are determined by a sequence of continuous self-maps $f_n: X \rightarrow X$ where $n \in \mathbf{N}$, defined on a compact metric space X .

By a nonautonomous dynamical system (NADDS for short) we understand a pair $(X, \{f_n\}_{n=1}^{\infty})$, where X is a compact metric space endowed with a metric d and

$\{f_n\}_{n=1}^{\infty}$, is a sequence of continuous maps from X to X . In 2013, Kawan [10] generalized the classical notion of measure-theoretical entropy established by Kolomogorov and Sinai to NADSs, and proved that the measure-theoretical entropy can be estimated from above by its topological entropy. Following the idea of Brin and Katok [8] and Zhou [7] introduced the measure-theoretical entropy in nonautonomous case and established a variational principle for the first time. More results related to entropy for NADSs were developed in [11]. In this paper, We introduce ideas of Wang [9] to nonautonomous systems to establish new variational principles for Bowen topological entropy for nonautonomous dynamical systems.

Give a NADDS $(X, \{f_n\}_{n=1}^{\infty})$. For each $n \in \mathbf{N}^+$, the Bowen metric d_n on X is defined by $d_n(x, y) = \max_{0 \leq i \leq n-1} d(f_1^i(x), f_1^i(y))$. For every $\varepsilon > 0$, we denote by $B_n(x, \varepsilon)$ the open ball of radius ε in the metric d_n around x , i.e., $B_n(x, \varepsilon) = \{y \in X : d_n(x, y) < \varepsilon\}$.

We also consider a nonautonomous dynamical system (for short NADS) (X, ϕ) where (X, d) is a compact metric space and $\phi: [0, \infty) \times X \rightarrow X$ is a continuous map with $\phi(0, x) = x$ for $x \in X$. We want to know whether there is certain variational relation of entropy for nonautonomous dynamical systems. For our study, we need to define the measure-theoretic entropy for arbitrary Borel probability measure in nonautonomous case.

Given a NADS (X, ϕ) . For any $t \in [0, \infty)$, the t Bowen metric d_t^ϕ on X is defined by

$$d_t^\phi(x, y) = \max_{0 \leq i \leq t-1} d(\phi(s, x), \phi(s, y))$$

For every $\varepsilon > 0$, we denote by $B_t^\phi(x, \varepsilon)$ the open ball of radius ε in the metric d_t^ϕ around x , i.e.,

$$B_t^\phi(x, \varepsilon) = \{y \in X : d_t^\phi(x, y) < \varepsilon\}.$$

Write $\phi^i(x) := \phi(i, x)$ for $i = 1, 2, \dots$ and $x \in X$.

In this case, we take $f_n(x) = \phi^n(x)$, then $\{\phi^n\}_{n=1}^{\infty}$ is a NADDS.

Let $M(X)$ denote the set of all Borel probability measures on X , $Z \subset X$ and $\mu \in M(X)$, $(X, \{f_n\}_{n=1}^{\infty})$ is a NADDS.

(1) A set $E \subset Z$ is said to be an (n, ε, Z) -separated set if $x, y \in E$ with $x \neq y$ implies $d_n^\phi(x, y) > \varepsilon$. Let $r_n(\varepsilon, Z)$ denote the maximum cardinality of (n, ε, Z) -separated set.

(2) A set $F \subset Z$ is said to be an (n, ε, Z) -spanning set if, for any $x \in X$, there exists $y \in F$ with $d_n^\phi(x, y) \leq \varepsilon$. Let $s_n(\varepsilon, Z)$ denote the minimum cardinality of (n, ε, Z) -spanning sets.

(3) A set $F \subset Z$ is said to be a $(\mu, n, \varepsilon, \delta)$ -spanning set if the union $\bigcup_{x \in F} B_n(x, \varepsilon)$ has μ -measure more than or equal to $1 - \delta$. Let $r_n(\mu, \varepsilon, \delta)$ denote the minimum cardinality of $(\mu, n, \varepsilon, \delta)$ -spanning sets.

(4) We introduce a useful set: $X_{\mu,\delta} = \{Z \subset X : \mu(Z) \geq 1 - \delta\}$.

Then it is clear that

$$r_n(\mu, \varepsilon, \delta) = \inf_{Z \in X_{\mu,\delta}} r_n(\varepsilon, Z)$$

An open cover of X is a family of open subsets of X , whose union is X . For two covers U and V we say that U is a refinement of V if for each $U \in U$ there is $V \in V$ with $U \subset V$. For $n \in \mathbf{N}$ and open covers U_1, U_2, \dots, U_n of X we denote

$$\bigvee_{i=1}^n U_i = \{A_1 \cap A_2 \cap \dots \cap A_n : A_1 \in U_1, A_2 \in U_2, \dots, A_n \in U_n\}$$

Note that $\bigvee_{i=1}^n U_i$ is also an open cover of X . We denote by $N(U)$ the minimal cardinality of all subcovers chosen from U .

Set

$$f_i^0 = id_X, f_i^n = f_{i+(n-1)} \circ f_{i+(n-2)} \circ \dots \circ f_{i+1} \circ f_i, f_i^{-n} = (f_i^n)^{-1}$$

For all $i, n \in \mathbf{N}$, where id_X is the identity map on X .

Let

$$h_{top}(\{f_n\}_{n=1}^{\infty}, U) = \limsup_{n \rightarrow \infty} \frac{\log N\left(\bigvee_{i=0}^{n-1} f_1^{-i} U_i\right)}{n}.$$

The topological entropy is defined by

$$h_{top}(X, \{f_n\}_{n=1}^{\infty}) = \left\{ h_{top}(\{f_n\}_{n=1}^{\infty}, U) : U \text{ is an open cover of } X \right\}.$$

It was proved in \cite{AKM} that for every NADS, we have

$$h_{top}(X, \{f_n\}_{n=1}^{\infty}) = \lim_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\log s_n(\varepsilon, X)}{n} = \lim_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{\log r_n(\varepsilon, X)}{n}.$$

Following the idea of Katok \cite{AKM}, we give the following.

Let $\mu \in M(X)$. The NADDS Katok measure-theoretical lower and upper entropies of μ are defined respectively by

$$h_{-\mu}^K(\{f_n\}_{n=1}^{\infty}) = \lim_{\delta \rightarrow 0} \lim_{\varepsilon \rightarrow 0} \liminf_{n \rightarrow \infty} \frac{1}{n} \log r_n(\mu, \varepsilon, \delta)$$

$$h_{\mu}^{-K}(\{f_n\}_{n=1}^{\infty}) = \lim_{\delta \rightarrow 0} \lim_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{1}{n} \log r_n(\mu, \varepsilon, \delta)$$

In this paper, we introduce many quantities for Borel probability measure $\mu \in M(X)$, respectively denoted by $e_\mu\left(\{f_n\}_{n=1}^\infty\right)$, $e_{-\mu}\left(\{f_n\}_{n=1}^\infty\right)$, $\overline{e}_\mu\left(\{f_n\}_{n=1}^\infty\right)$, $e_\mu^*\left(\{f_n\}_{n=1}^\infty\right)$, and so on.

According to the relations of the several types of NADS topological entropies, it is natural to consider relationship of some new quantities and Katok measure-theoretical lower and upper entropies. Therefore, we have the first main result.

2. Main Results

Theorem 2.1 Let $(X, \{f_n\}_{n=1}^\infty)$ be a NADDS, $\mu \in M(X)$.

Then following statements hold.

- (1) For any $Z \subseteq X$, $h_{top}^B\left(\{f_n\}_{n=1}^\infty, Z\right) \leq h_{top}^P\left(\{f_n\}_{n=1}^\infty, Z\right)$.
- (2) $\overline{h}_\mu\left(\{f_n\}_{n=1}^\infty\right) = \overline{e}_\mu\left(\{f_n\}_{n=1}^\infty\right)$.
- (3) $h_{-\mu}^K\left(\{f_n\}_{n=1}^\infty\right) = e_{-\mu}\left(\{f_n\}_{n=1}^\infty\right)$.
- (4) $e_\mu\left(\{f_n\}_{n=1}^\infty\right) \leq e_{-\mu}\left(\{f_n\}_{n=1}^\infty\right) \leq \overline{e}_\mu\left(\{f_n\}_{n=1}^\infty\right)$.
- (5) $e_\mu\left(\{f_n\}_{n=1}^\infty\right) \leq e_\mu^*\left(\{f_n\}_{n=1}^\infty\right) = \lim_{\varepsilon \rightarrow 0} \lim_{\delta \rightarrow 0} \inf_{Z \in X_{\mu, \delta}} h_{top}^P\left(\{f_n\}_{n=1}^\infty, Z, \varepsilon\right)$.

where the definitions of these notions will be given in Section 3.

Theorem 2.2 Let $(X, \{f_n\}_{n=1}^\infty)$ be a NADDS. If $K \subset X$ is a non-empty and compact, then

$$h_{top}^B\left(\{f_n\}_{n=1}^\infty, K\right) = \sup\left\{e_\mu\left(\{f_n\}_{n=1}^\infty\right) : \mu \in M(X), \mu(K) = 1\right\}.$$

Theorem 2.3 Let (X, ϕ) be a NADS, $\mu \in M(X)$. Then following statements hold.

- (1) For any $Z \subseteq X$, $h_{top}^B(\phi, Z) \leq h_{top}^P(\phi, Z)$.
- (2) $e_\mu(\phi) \leq e_{-\mu}(\phi) \leq \overline{e}_\mu(\phi)$.
- (3) $e_\mu(\phi) \leq e_\mu^*(\phi) = \lim_{\varepsilon \rightarrow 0} \lim_{\delta \rightarrow 0} \inf_{Z \in X_{\mu, \delta}} h_{top}^P(\phi, Z, \varepsilon)$.

Theorem 2.4 Let (X, ϕ) be a NADS. If $K \subset X$ is non-empty and compact, then

$$h_{top}^B(\phi, K) = \sup \{e_\mu(\phi) : \mu \in M(X), \mu(K) = 1\}.$$

3. Preliminary Notes

3.1 NADDS

In this subsection, let $(X, \{f_n\}_{n=1}^\infty)$ be a NADDS, next we introduced NADDS's entropies. Following, we give some definitions of several NADDS topological entropies of subsets.

Definition 3.1 Let $Z \subset X$, $s \geq 0$, $N \in \mathbf{N}$ and $\varepsilon > 0$, define

$$M_{N,\varepsilon}^s(\{f_n\}_{n=1}^\infty, Z) = \inf \sum_i \exp(-sn_i),$$

where the infimum is taken over all finite or countable families $\{B_{n_i}(x_i, \varepsilon)\}$ such that $x_i \in X$, $n_i \geq N$ and $\bigcup_i B_{n_i}(x_i, \varepsilon) \supseteq Z$. The quantity $M_{N,\varepsilon}^s(\{f_n\}_{n=1}^\infty, Z)$ does not decrease as N increase and ε decreases, hence the following limits exist:

$$\begin{aligned} M_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z) &= \lim_{N \rightarrow \infty} M_{N,\varepsilon}^s(\{f_n\}_{n=1}^\infty, Z), \\ M^s(\{f_n\}_{n=1}^\infty, Z) &= \lim_{\varepsilon \rightarrow 0} M_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z). \end{aligned}$$

Bowen's topological entropy $h_{top}^B(\{f_n\}_{n=1}^\infty, Z)$ is defined as a critical value of the parameters s , where $M^s(\{f_n\}_{n=1}^\infty, Z)$ jumps from ∞ to 0 , i.e.

$$M^s(\{f_n\}_{n=1}^\infty, Z) = \begin{cases} 0, & s > h_{top}^B(\{f_n\}_{n=1}^\infty, Z) \\ \infty, & s < h_{top}^B(\{f_n\}_{n=1}^\infty, Z) \end{cases}$$

Definition 3.2 Let $Z \subset X$. For $s \geq 0$, $N \in \mathbf{N}$ and $\varepsilon > 0$, define

$$P_{N,\varepsilon}^s(\{f_n\}_{n=1}^\infty, Z) = \sup \sum_i \exp(-sn_i),$$

where the supremum is taken over all finite or countable pairwise disjoint families $\{\overline{B}_{n_i}(x_i, \varepsilon)\}$ such that $x_i \in Z$, $n_i \geq N$ for all i ,

where

$$\overline{B}_{n_i}(x_i, \varepsilon) := \{y \in X : d_n(x, y) \leq \varepsilon\}.$$

The quantity $P_{N,\varepsilon}^s(\{f_n\}_{n=1}^\infty, Z)$ does not decrease as N, ε decrease.

Hence the following limit exists:

$$P_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z) = \lim_{N \rightarrow \infty} P_{N,\varepsilon}^s(\{f_n\}_{n=1}^\infty, Z).$$

Define

$$P_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z) = \inf \left\{ \sum_{i=1}^\infty P_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z_i) : \bigcup_{i=1}^\infty Z_i \supseteq Z \right\}.$$

There exists a critical value of the parameters s , which we will denote by $h_{top}^P(\{f_n\}_{n=1}^\infty, Z, \varepsilon)$, where $P_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z)$ jumps from ∞ to 0, i.e.

$$P^s(\{f_n\}_{n=1}^\infty, Z) = \begin{cases} 0 & , s > h_{top}^P(\{f_n\}_{n=1}^\infty, Z, \varepsilon) \\ \infty & , s < h_{top}^P(\{f_n\}_{n=1}^\infty, Z, \varepsilon) \end{cases}$$

Note that $h_{top}^P(\{f_n\}_{n=1}^\infty, Z, \varepsilon)$ increases when ε decreases.

We call

$$h_{top}^P(\{f_n\}_{n=1}^\infty, Z) := \lim_{\varepsilon \rightarrow 0} h_{top}^P(\{f_n\}_{n=1}^\infty, Z, \varepsilon)$$

the topological packing entropy of Z .

Definition 3.3 Let $Z \subseteq X$. For $s \geq 0, N \in \mathbf{N}$ and $\varepsilon > 0$, define

$$R_{N,\varepsilon}^s(\{f_n\}_{n=1}^\infty, Z) = \inf \sum_i \exp(-sN)$$

where the infimum is taken over all finite or countable families $\{B_N(x_i, \varepsilon)\}$ such that $x_i \in X$, and $\bigcup_i B_N(x_i, \varepsilon) \supseteq Z$.

Let

$$\begin{aligned} \underline{R}_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z) &= \liminf_{N \rightarrow \infty} R_{N,\varepsilon}^s(\{f_n\}_{n=1}^\infty, Z), \\ \overline{R}_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z) &= \limsup_{N \rightarrow \infty} R_{N,\varepsilon}^s(\{f_n\}_{n=1}^\infty, Z) \end{aligned}$$

and

$$\begin{aligned} \underline{Ch}_Z(\{f_n\}_{n=1}^\infty, \varepsilon) &= \inf \left\{ s : \underline{R}_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z) = 0 \right\} = \sup \left\{ s : \underline{R}_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z) = +\infty \right\}, \\ \overline{Ch}_Z(\{f_n\}_{n=1}^\infty, \varepsilon) &= \inf \left\{ s : \overline{R}_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z) = 0 \right\} = \sup \left\{ s : \overline{R}_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z) = +\infty \right\}. \end{aligned}$$

The lower and upper capacity topological entropies of $\{f_n\}_{n=1}^{\infty}$ restricted to Z are defined respectively by

$$\begin{aligned} \underline{Ch}_Z \left(\{f_n\}_{n=1}^{\infty} \right) &= \lim_{\varepsilon \rightarrow 0} \underline{Ch}_Z \left(\{f_n\}_{n=1}^{\infty}, \varepsilon \right), \\ \overline{Ch}_Z \left(\{f_n\}_{n=1}^{\infty} \right) &= \lim_{\varepsilon \rightarrow 0} \overline{Ch}_Z \left(\{f_n\}_{n=1}^{\infty}, \varepsilon \right). \end{aligned}$$

Definition 3.4 Let $\mu \in M(X)$, $s \geq 0$, $N \in \mathbf{N}$, $\varepsilon > 0$ and $0 < \delta < 1$, define

$$M_{N,\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) = \inf \sum_i \exp(-sn_i),$$

where the infimum is taken over all finite or countable families $\{B_{n_i}(x_i, \varepsilon)\}$ such

that $x_i \in X$, $n_i \geq N$ and $\mu \left(\bigcup_i B_{n_i}(x_i, \varepsilon) \right) \geq 1 - \delta$.

The quantity $M_{N,\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right)$ does not decrease as N increase, hence the following limit exist:

$$M_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) = \lim_{N \rightarrow \infty} M_{N,\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right)$$

Using standard method, we have following is well-defined:

$$e_{\mu} \left(\{f_n\}_{n=1}^{\infty}, \varepsilon, \delta \right) = \inf \left\{ s : M_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) = 0 \right\} = \sup \left\{ s : M_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) = +\infty \right\}$$

Defined

$$e_{\mu} \left(\{f_n\}_{n=1}^{\infty} \right) = \lim_{\delta \rightarrow 0} \lim_{\varepsilon \rightarrow 0} e_{\mu} \left(\{f_n\}_{n=1}^{\infty}, \varepsilon, \delta \right).$$

Definition 3.5 Let $\mu \in M(X)$, $s \geq 0$, $N \in \mathbf{N}$, $\varepsilon > 0$ and $0 < \delta < 1$, put

$$R_{N,\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) = \inf \sum_i \exp(-sN),$$

where the infimum is taken over all finite or countable families $\{B_N(x_i, \varepsilon)\}$ such

that $x_i \in X$, and $\mu \left(\bigcup_i B_N(x_i, \varepsilon) \right) \geq 1 - \delta$.

Let

$$\begin{aligned} \underline{R}_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) &= \liminf_{N \rightarrow \infty} R_{N,\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right), \\ \overline{R}_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) &= \limsup_{N \rightarrow \infty} R_{N,\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right). \end{aligned}$$

Using standard method, we have following is well- defined:

$$e_{-\mu} \left(\{f_n\}_{n=1}^{\infty}, \varepsilon, \delta \right) = \inf \left\{ s : R_{-\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) = 0 \right\} = \sup \left\{ s : R_{-\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) = +\infty \right\}$$

$$\bar{e}_{\mu} \left(\{f_n\}_{n=1}^{\infty}, \varepsilon, \delta \right) = \inf \left\{ s : \bar{R}_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) = 0 \right\} = \sup \left\{ s : \bar{R}_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) = +\infty \right\}$$

Define

$$e_{-\mu} \left(\{f_n\}_{n=1}^{\infty} \right) = \lim_{\delta \rightarrow 0} \lim_{\varepsilon \rightarrow 0} e_{-\mu} \left(\{f_n\}_{n=1}^{\infty}, \varepsilon, \delta \right),$$

$$\bar{e}_{\mu} \left(\{f_n\}_{n=1}^{\infty} \right) = \lim_{\delta \rightarrow 0} \lim_{\varepsilon \rightarrow 0} \bar{e}_{\mu} \left(\{f_n\}_{n=1}^{\infty}, \varepsilon, \delta \right).$$

Definition 3.6 Let $\mu \in M(X)$, $s \geq 0$, $N \in \mathbf{N}$, $\varepsilon > 0$ and $0 < \delta < 1$, put

$$P_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) = \inf \left\{ \sum_{i=1}^{\infty} P_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, Z_i \right) : \mu \left(\bigcup_{i=1}^{\infty} Z_i \right) \geq 1 - \delta \right\},$$

where $P_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, Z_i \right)$ is defined in Definition 2.2. There exists a critical value of s such that

$$e_{\mu}^* \left(\{f_n\}_{n=1}^{\infty}, \varepsilon, \delta \right) = \left\{ s : P_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) = 0 \right\} = \sup \left\{ s : P_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta \right) = +\infty \right\}.$$

Define

$$e_{\mu}^* \left(\{f_n\}_{n=1}^{\infty} \right) = \lim_{\delta \rightarrow 0} \lim_{\varepsilon \rightarrow 0} e_{\mu}^* \left(\{f_n\}_{n=1}^{\infty}, \varepsilon, \delta \right).$$

3.2 NADS

In this subsection, let (X, ϕ) be a NADS, next we introduced NADS's entropies.

Definition 3.7 Let $Z \subset X$, $s \geq 0$, $N \in \mathbf{N}$ and $\varepsilon > 0$, define

$$M_{N,\varepsilon}^s(\phi, Z) = \inf \sum_i \exp(-st_i),$$

where the infimum is taken over all finite or countable families $\{B_i^{\phi}(x_i, \varepsilon)\}$ such that $x_i \in X$, $t_i \geq N$ and $\bigcup_i B_i^{\phi}(x_i, \varepsilon) \supseteq Z$. The quantity $M_{N,\varepsilon}^s(\phi, Z)$ does not decrease as N increase and ε decreases.

Hence the following limits exist:

$$M_{\varepsilon}^s(\phi, Z) = \lim_{N \rightarrow \infty} M_{N,\varepsilon}^s(\phi, Z),$$

$$M^s(\phi, Z) = \lim_{\varepsilon \rightarrow 0} M_{\varepsilon}^s(\phi, Z).$$

Bowen's topological entropy $h_{top}^B(\phi, Z)$ is defined as a critical value of the parameters s , where $M^s(\phi, Z)$ jumps from ∞ to 0 , i.e.

$$M^s(\phi, Z) = \begin{cases} 0 & , s > h_{top}^B(\phi, Z) \\ \infty & , s < h_{top}^B(\phi, Z) \end{cases}$$

Other topological entropy definitions are similar to the discrete case definition.

Definition 3.8 Let $\mu \in M(X)$, $s \geq 0$, $N \in \mathbf{N}$, $\varepsilon > 0$ and $0 < \delta < 1$, define

$$M_{N,\varepsilon}^s(\phi, \mu, \delta) = \inf \sum_i \exp(-st_i),$$

where the infimum is taken over all finite or countable families $\{B_{t_i}^\phi(x_i, \varepsilon)\}$ such that $x_i \in X$, $t_i \geq N$ and $\mu\left(\bigcup_i B_{t_i}^\phi(x_i, \varepsilon)\right) \geq 1 - \delta$. The quantity $M_{N,\varepsilon}^s(\phi, \mu, \delta)$ does not decrease as N increase, hence the following limit exist:

$$M_\varepsilon^s(\phi, \mu, \delta) = \lim_{N \rightarrow \infty} M_{N,\varepsilon}^s(\phi, \mu, \delta).$$

Using standard method, we have following is well- defined:

$$e_\mu(\phi, \varepsilon, \delta) = \inf \{s : M_\varepsilon^s(\phi, \mu, \delta) = 0\} = \sup \{s : M_\varepsilon^s(\phi, \mu, \delta) = +\infty\},$$

defined

$$e_\mu(\phi) = \lim_{\delta \rightarrow 0} \lim_{\varepsilon \rightarrow 0} e_\mu(\phi, \varepsilon, \delta).$$

4. Proof of Theorem

4.1 Proof of Theorem 2.1

Proposition 4.1 Let $0 < \delta < 1$, $\mu \in M(X)$, $\{Z_i\}_{i=1}^\infty$ be a family of Borel subsets of X with $\mu\left(\bigcup_i Z_i\right) \geq 1 - \delta$. For any $\varepsilon > 0$,

$$M_\varepsilon^s\left(\{f_n\}_{n=1}^\infty, \mu, \delta\right) \leq \sum_{i=1}^\infty M_\varepsilon^s\left(\{f_n\}_{n=1}^\infty, Z_i\right).$$

Proof For any $\varepsilon > 0, N, i \in \mathbf{N}$, there exists $N_i > N$ such that

$$M_{N_i,\varepsilon}^s\left(\{f_n\}_{n=1}^\infty, Z_i\right) < M_\varepsilon^s\left(\{f_n\}_{n=1}^\infty, Z_i\right) + \frac{\varepsilon}{2^i}.$$

Hence, there exists a countable family $\left\{B_{n_j^i}(x_j^i, \varepsilon)\right\}_{j=1}^{\infty}$ such that $n_j^i \geq N_i$, $x_j^i \in X$,

$$\left\{B_{n_j^i}(x_j^i, \varepsilon)\right\}_{j=1}^{\infty} \supseteq Z_i,$$

$$\sum_{j=1}^{\infty} \exp(-sn_j^i) < M_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, Z_i\right) + \frac{\varepsilon}{2^i}.$$

Since $\mu\left(\bigcup_i Z_i\right) \geq 1 - \delta$, we have $\mu\left(\bigcup_{i \geq 1} \bigcup_{j \geq 1} B_{n_j^i}(x_j^i, \varepsilon)\right) \geq 1 - \delta$. Hence

$$M_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, \mu, \delta\right) \leq \sum_{i \geq 1} \sum_{j \geq 1} \exp(-sn_j^i) < \sum_{i=1}^{\infty} M_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, Z_i\right).$$

Proof (1) Let $Z \subseteq X$ and assume be $0 < s < h_{top}^B \left(\{f_n\}_{n=1}^{\infty}, Z\right)$. For any $n \in \mathbf{N}$ and

$\varepsilon > 0$, let $R = R_n \left(\{f_n\}_{n=1}^{\infty}, Z, \varepsilon\right)$ be the largest number so that there is a disjoint family $\left\{\bar{B}_n(x_i, \varepsilon)\right\}_{i=1}^R$ with $x_i \in Z$. Then it is easy to see that for any $\delta > 0$,

$$\bigcup_{i=1}^R \bar{B}_n(x_i, 2\varepsilon + \delta) \supseteq Z,$$

which implies that

$$M_{n, 2\varepsilon + \delta}^s \left(\{f_n\}_{n=1}^{\infty}, Z\right) \leq R \cdot \exp(-ns) \leq P_{n, \varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, Z\right)$$

for any $s \geq 0$, and hence $M_{2\varepsilon + \delta}^s \left(\{f_n\}_{n=1}^{\infty}, Z\right) \leq P_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, Z\right)$, we have

$M_{2\varepsilon + \delta}^s \left(\{f_n\}_{n=1}^{\infty}, Z\right) \leq P_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, Z\right)$. Since $0 < s < h_{top}^B \left(\{f_n\}_{n=1}^{\infty}, Z\right)$, we have

$M^s \left(\{f_n\}_{n=1}^{\infty}, Z\right) = \infty$ and thus $M_{2\varepsilon + \delta}^s \left(\{f_n\}_{n=1}^{\infty}, Z\right) \geq 1$ when ε and δ are small

enough. Hence $P_{\varepsilon}^s \left(\{f_n\}_{n=1}^{\infty}, Z\right) \geq 1$ and $h_{top}^P \left(\{f_n\}_{n=1}^{\infty}, Z, \varepsilon\right) \geq s$ when ε is small.

Therefore $h_{top}^P \left(\{f_n\}_{n=1}^{\infty}, Z\right) = \lim_{\varepsilon \rightarrow 0} h_{top}^P \left(\{f_n\}_{n=1}^{\infty}, Z, \varepsilon\right) \geq s$.

This implies that $h_{top}^B \left(\{f_n\}_{n=1}^{\infty}, Z\right) \leq h_{top}^P \left(\{f_n\}_{n=1}^{\infty}, Z\right)$.

(2) Denote

$$\bar{h}_{\mu}^{-K} \left(\{f_n\}_{n=1}^{\infty}, \varepsilon, \delta\right) = \limsup_{n \rightarrow \infty} \frac{1}{n} \log r_n(\mu, \varepsilon, \delta)$$

then $\bar{h}_{\mu}^{-K} \left(\{f_n\}_{n=1}^{\infty}\right) = \lim_{\delta \rightarrow 0} \lim_{\varepsilon \rightarrow 0} \bar{h}_{\mu}^{-K} \left(\{f_n\}_{n=1}^{\infty}, \varepsilon, \delta\right)$.

We first prove that

$$\overline{e}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right) \leq \overline{h}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right)$$

for any $0 < \delta < 1$ and $\varepsilon > 0$, using like-Huasdorff dimension method. For any $s > \overline{h}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right)$ and $Z \in X_{\mu, \delta}$, let F is a (n, ε, Z) -spanning set, then

$$R_{n, \varepsilon}^s \left(\{f_n\}_{n=1}^\infty, \mu, \delta \right) \leq \sum_{x \in F} \exp(-sn) = \#F \cdot \exp(-sn)$$

which follows that

$$R_{n, \varepsilon}^s \left(\{f_n\}_{n=1}^\infty, \mu, \delta \right) \leq \exp(-sn) \cdot \inf_{Z \in X_{\mu, \delta}} r_n(\varepsilon, Z).$$

Hence

$$R_{n, \varepsilon}^s \left(\{f_n\}_{n=1}^\infty, \mu, \delta \right) \leq \exp(-sn) \cdot r_n(\mu, \varepsilon, \delta) = e^{-n \left(s - \frac{1}{n} \log r_n(\mu, \varepsilon, \delta) \right)}.$$

Since $\overline{h}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right) = \limsup_{n \rightarrow \infty} \frac{1}{n} \log r_n(\mu, \varepsilon, \delta) < s$, we have

$$\limsup_{n \rightarrow \infty} R_{n, \varepsilon}^s \left(\{f_n\}_{n=1}^\infty, \mu, \delta \right) = 0.$$

For $s > \overline{h}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right)$ we get $\overline{R}_\varepsilon^s \left(\{f_n\}_{n=1}^\infty, \mu, \delta \right) = 0$ and $\overline{e}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right) \leq s$.

Hence $\overline{e}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right) \leq \overline{h}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right)$.

Next we prove $\overline{e}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right) \geq \overline{h}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right)$ for any $0 < \delta < 1$ and

$\varepsilon > 0$ by showing $\overline{h}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right) \leq s$ whenever $s > \overline{e}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right)$. For

such a s , we have $\overline{R}_\varepsilon^s \left(\{f_n\}_{n=1}^\infty, \mu, \delta \right) = 0$. Then there exists $N \in \mathbf{N}$ such that

$R_{n, \varepsilon}^s \left(\{f_n\}_{n=1}^\infty, \mu, \delta \right) < 1$ for any $n \geq N$. Fix $n \geq N$, we can find a finite family

$\{B_n(x_i, \varepsilon)\}_{i \in I}$ such that $x_i \in X$,

$$\mu \left(\bigcup_{i \in I} B_n(x_i, \varepsilon) \right) \geq 1 - \delta \quad \text{and} \quad \#I \cdot e^{-sn} < 1$$

So $r_n(\mu, \varepsilon, \delta) \leq e^{sn}$ for any $n \geq N$. Hence $\overline{h}_\mu \left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta \right) \leq s$.

(3) The proof of (3) is similar to (2).

(4) The proof of (4) is a consequence of definition.

(5) We first show that $e_\mu\left(\{f_n\}_{n=1}^\infty\right) \leq e_\mu^*\left(\{f_n\}_{n=1}^\infty\right)$. Let $s < e_\mu\left(\{f_n\}_{n=1}^\infty\right)$, $0 < \delta < 1$

and $\{Z_i\}_{i=1}^\infty$ be a family of Borel subsets of X with $\mu\left(\bigcup_{i=1}^\infty Z_i\right) \geq 1 - \delta$. For any

$i, n \in \mathbf{N}$ and $\varepsilon > 0$, let $R_n^i = R(Z_i, \varepsilon)$ be the largest number such that there is a

disjoint family $\left\{B_n^i(x_j^i, \varepsilon)\right\}_{j=1}^{R_n^i}$ with $x_j^i \in Z_i$. Then we can verify that for any

$\theta > 0$,

$$\left\{B_{n_j^i}(x_j^i, 2\varepsilon + \theta)\right\} \supseteq Z_i.$$

It following that $M_{n, 2\varepsilon + \theta}^s\left(\{f_n\}_{n=1}^\infty, Z_i\right) \leq R_n^i \cdot e^{-sn} \leq P_{n, \varepsilon}^s\left(\{f_n\}_{n=1}^\infty, Z_i\right)$

and $M_{2\varepsilon + \theta}^s\left(\{f_n\}_{n=1}^\infty, Z_i\right) \leq P_\varepsilon^s\left(\{f_n\}_{n=1}^\infty, Z_i\right)$. Therefore, by the Proposition 4.1,

we have $M_{2\varepsilon + \theta}^s\left(\{f_n\}_{n=1}^\infty, \mu, \delta\right) \leq P_\varepsilon^s\left(\{f_n\}_{n=1}^\infty, \mu, \delta\right)$. As $s < e_\mu\left(\{f_n\}_{n=1}^\infty\right)$, we can get

$s < e_\mu\left(\{f_n\}_{n=1}^\infty, 2\varepsilon + \theta, \delta\right)$ when $\varepsilon, \theta, \delta$ are small enough. This implies that

$M_{2\varepsilon + \theta}^s\left(\{f_n\}_{n=1}^\infty, \mu, \delta\right) = \infty$ and thus $P_\varepsilon^s\left(\{f_n\}_{n=1}^\infty, \mu, \delta\right) = \infty$. Therefore, it can be

deduced that $e_\mu^*\left(\{f_n\}_{n=1}^\infty\right) \geq s$. So the desired inequality holds.

Now we proved that $e_\mu^*\left(\{f_n\}_{n=1}^\infty\right) = \lim_{\varepsilon \rightarrow 0} \liminf_{\delta \rightarrow 0} \inf_{Z \in X_{\mu, \delta}} h_{top}^P\left(\{f_n\}_{n=1}^\infty, Z, \varepsilon\right)$.

Let $e_\mu^*\left(\{f_n\}_{n=1}^\infty\right) > s$, then there exists $\varepsilon', \delta' > 0$ such that $e_\mu^*\left(\{f_n\}_{n=1}^\infty, \varepsilon, \delta\right) \geq s$

for any $\varepsilon \in (0, \varepsilon')$ and $\delta \in (0, \delta')$. Thus $P_\varepsilon^s\left(\{f_n\}_{n=1}^\infty, \mu, \delta\right) = \infty$.

For any $Z \in X_{\mu, \delta}$ and any $\{Z_i\}_{i \geq 1}$ with $\bigcup_{i=1}^\infty Z_i \supseteq Z$, we have $\mu\left(\bigcup_{i=1}^\infty Z_i\right) \geq 1 - \delta$.

It follows from $P_\varepsilon^s\left(\{f_n\}_{n=1}^\infty, \mu, \delta\right) = \infty$ that $\sum_{i=1}^\infty P_\varepsilon^s\left(\{f_n\}_{n=1}^\infty, Z_i\right) = \infty$.

So $P_\varepsilon^s\left(\{f_n\}_{n=1}^\infty, Z\right) = \infty$, which gives that $h_{top}^P\left(\{f_n\}_{n=1}^\infty, Z, \varepsilon\right) \geq s$.

On the other hand, let $s < \lim_{\varepsilon \rightarrow 0} \liminf_{\delta \rightarrow 0} \inf_{Z \in X_{\mu, \delta}} h_{top}^P\left(\{f_n\}_{n=1}^\infty, Z, \varepsilon\right)$. Then there exist $\varepsilon',$

$\delta' > 0$ such that $h_{top}^P\left(\{f_n\}_{n=1}^\infty, Z, \varepsilon\right) > s$ for any $\varepsilon \in (0, \varepsilon')$, $\delta \in (0, \delta')$ and

$Z \in X_{\mu, \delta}$. Thus, we have $P_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z) = \infty$. Fix $\{Z_i\}_{i \geq 1}$ with $\mu\left(\bigcup_{i=1}^\infty Z_i\right) \geq 1 - \delta$ and write $Z = \bigcup_{i=1}^\infty Z_i$, then $Z \in X_{\mu, \delta}$. So $\sum_{i=1}^\infty P_\varepsilon^s(\{f_n\}_{n=1}^\infty, Z_i) = \infty$, which yields that $P_\varepsilon^s(\{f_n\}_{n=1}^\infty, \mu, \delta) = \infty$. Furthermore, we can get $e_\mu^*(\{f_n\}_{n=1}^\infty, \varepsilon, \delta) \geq s$ and $e_\mu^*(\{f_n\}_{n=1}^\infty) \geq s$.

4.2 Proof of Theorem 2.2

Proposition 4.2 For $\mu \in M(X)$, it holds that

$$h_{-\mu}(\{f_n\}_{n=1}^\infty) \leq e_\mu(\{f_n\}_{n=1}^\infty) \leq \inf \left\{ h_{top}^B(\{f_n\}_{n=1}^\infty, K) : \mu(K) = 1 \right\}.$$

Proof The second inequality is a direct consequence of the definition and we only deduce the first one. For $s > 0$ with $h_{-\mu}(\{f_n\}_{n=1}^\infty) > s$. By a standard procedure,

there exist $A \subset X$ with $\mu(A) > 0$ and $N \in \mathbf{N}$ such that

$$\mu(B_n(x, \varepsilon)) < e^{-sn}, \forall x \in A, n \geq N$$

Pick $\delta \in (0, \mu(A))$. Let $\left\{ B_{n_i}\left(x_i, \frac{\varepsilon}{2}\right) \right\}_{i \in I}$ be a countable family such that $n_i \geq N$,

$x_i \in X$ and $\mu\left(\bigcup_{i \in I} B_{n_i}\left(x_i, \frac{\varepsilon}{2}\right)\right) \geq 1 - \delta$ that intersects A , if taking

$y_i \in B_{n_i}\left(x_i, \frac{\varepsilon}{2}\right) \cap A$, then one has $B_{n_i}\left(x_i, \frac{\varepsilon}{2}\right) \subseteq B_{n_i}(y_i, \varepsilon)$ and thus

$$\mu\left(B_{n_i}\left(x_i, \frac{\varepsilon}{2}\right)\right) \leq \mu(B_{n_i}(y_i, \varepsilon)) \leq e^{-sn_i}$$

Then we have

$$\begin{aligned} \sum_{i \in I} e^{-sn_i} &\geq \sum_{i \in I} \mu(B_{n_i}(y_i, \varepsilon) \cap A) \geq \sum_{i \in I} \mu\left(B_{n_i}\left(x_i, \frac{\varepsilon}{2}\right) \cap A\right) \\ &\geq \mu\left(\bigcup_{i \in I} B_{n_i}\left(x_i, \frac{\varepsilon}{2}\right) \cap A\right) = \mu(A) > 0 \end{aligned}$$

Hence $M_{\frac{\varepsilon}{2}}^s(\{f_n\}_{n=1}^\infty, \mu, \delta) \geq M_{N, \frac{\varepsilon}{2}}^s(\{f_n\}_{n=1}^\infty, \mu, \delta) \geq \mu(A)$. By Bowen's definition,

we can derive that $e_\mu\left(\{f_n\}_{n=1}^\infty, \frac{\varepsilon}{2}, \delta\right) \geq s$ and moreover $h_{-\mu}(\{f_n\}_{n=1}^\infty) \leq e_\mu(\{f_n\}_{n=1}^\infty)$.

Definition 4.3 Let $\mu \in M(X)$. The NADS (X, ϕ) measure-theoretical lower entropies of μ is defined by

$$h_{-\mu}(\phi) = \int_{-\mu} h(\phi, x) d\mu(x)$$

where

$$h_{-\mu}(\phi, x) = \lim_{\varepsilon \rightarrow 0} \liminf_{t \rightarrow \infty} -\frac{1}{t} \log \mu(B_t^\phi(x, \varepsilon)).$$

Lemma 4.4 ([5, theorem 1.4]) Let $(X, \{f_n\}_{n=1}^\infty)$ be a NADDS. If $K \subseteq X$ is non-empty and compact, then

$$h_{top}^B(\{f_n\}_{n=1}^\infty, K) = \sup \left\{ h_{-\mu}(\{f_n\}_{n=1}^\infty) : \mu \in M(X), \mu(K) = 1 \right\}.$$

Proof By the Proposition, we have

$$\begin{aligned} \sup \left\{ h_{-\mu}(\{f_n\}_{n=1}^\infty) : \mu \in M(X), \mu(K) = 1 \right\} &\leq \sup \left\{ e_\mu(\{f_n\}_{n=1}^\infty) : \mu \in M(X), \mu(K) = 1 \right\} \\ &\leq \inf \left\{ h_{top}^B(\{f_n\}_{n=1}^\infty, K) : \mu \in M(X), \mu(K) = 1 \right\} \end{aligned}$$

Combining with lemma,

$$h_{top}^B(\{f_n\}_{n=1}^\infty, K) = \sup \left\{ h_{-\mu}(\{f_n\}_{n=1}^\infty) : \mu \in M(X), \mu(K) = 1 \right\}$$

the conclusion can be proved.

Using the same proof method of Theorem 2.1 and, Theorem 2.2, we have result of Theorem 2.3 and Theorem 2.4.

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