

# On a Two-machine Flow-shop Scheduling Problem with a Single Server and Unit Processing Times

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## Abstract

We consider the problem of two-machine flow-shop scheduling with a single server and unit processing times, and show that this problem is *NP*-hard in the strong sense.

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**Keywords:** two-machine, flow-shop, single server, complexity, *NP*-hardness

## 1 Introduction

In the two-machine flow-shop scheduling problem we study, the input instance consists of  $n$  jobs with a single server and unit processing times. In the two-machine flow-shop scheduling problem we study, the input instance consists of  $n$  jobs with a single server and unit processing times. Each job  $J_j$  requires

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two operations  $O_{1,j}$  and  $O_{2,j}$  ( $j=1,2,\dots,n$ ), which are performed on machine  $M_1$  and  $M_2$ , respectively. The processing times of job  $J_j$  on machine  $M_i$ , i.e., the duration of operation  $O_{i,j}$ , is  $p_{i,j}=1$ , ( $i=1,2$ ). For each job, the second operation cannot be started before the first operation is completed. A setup times  $s_{i,j}$  is needed before the first job is processed on machine  $M_i$ . Each setup operation must be performed by the server  $M_s$ , which can only perform one operation at a time. The objective is to compute a non-preemptive schedule of those jobs on two machines that minimize makespan. In the standard scheduling notation [5], the problem can be described as the  $F2, S1|p_{i,j}=1|C_{\max}$  problem.

It is well known, S.M. Johnson [4], the  $F2|C_{\max}$  problem has a maximal polynomial solvable. P. Brucker [1] has shown that the  $F2, S1|p_{i,j}=p|C_{\max}$  problem is *NP*-hard in the ordinary sense. The  $F2, S1|p_{i,j}=1|C_{\max}$  problem is still open problem [3]. In this paper, we will show that the  $F2, S1|p_{i,j}=1|C_{\max}$  problem is *NP*-hard in the strong sense.

## 2 Complexity of the $F2, S1|p_{i,j}=1|C_{\max}$ problem

**Lemma 1** [3] Consider the  $F2, S1|p_{ij}=1|C_{\max}$  problem with unit processing times  $p_{i,j}=1$ , where  $i=1,2$  and  $j=1,2,\dots,n$ . Then

$$C(\sigma, \tau) = \max_{1 \leq k \leq n} \left\{ \sum_{j \leq \sigma^{-1}(k)} (s_{1, \sigma(j)} + p_{1, \sigma(j)}) + \sum_{j \geq \tau^{-1}(k)} (s_{2, \tau(j)} + p_{2, \tau(j)}) \right\} \quad (1)$$

where  $\sigma^{-1}(k)$  and  $\tau^{-1}(k)$  denote the positions of job  $k$  in sequences  $\sigma$  and  $\tau$ , respectively.

For a schedule  $S$ , let  $I_i(S)$ , ( $i=1,2$ ) denote the total idle times on machine  $M_i$ , we have

$$C_{\max}(S) = \max \left\{ \sum_{j=1}^n (s_{1,j} + p_{1,j}) + I_1(S), \sum_{j=1}^n (s_{2,j} + p_{2,j}) + I_2(S) \right\} \quad (2)$$

**Theorem 1** The  $F2, S1|p_{i,j}=1|C_{\max}$  problem is  $NP$ -hard in the strong sense.

**Proof** We prove the  $NP$ -hardness by a reduction from  $3-Partition$  [2], which is known to be  $NP$ -hard in the strong sense. An instance of the  $3-Partition$  problem consists of  $3n+2$  natural numbers  $n, b$ , and  $x_1, x_2, \dots, x_{3n}$  with  $b/4 < x_i < b/2$  for  $1 \leq i \leq 3n$  and  $\sum_{i=1}^{3n} x_i = nb$ . Does there exist a partition of the

set  $\{1, 2, \dots, 3n\}$  into  $n$  sets  $X_1, X_2, \dots, X_n$  of triples such that  $\sum_{i \in X_j} x_i = b$  for

$1 \leq j \leq n$ ? In this paper, suppose  $b \leq 1$ , because if  $b > 1$ , from  $\sum_{i \in X_j} x_i = b$  and

$$\text{let } x_i/b = y_i, \text{ we have } \left( \sum_{i \in X_j} x_i \right) / b = \sum_{i \in X_j} (x_i / b) = \sum_{i \in X_j} y_i < 1.$$

Given any instance of  $3-Partition$ , we define the following instance of the  $F2, S1|p_{i,j}=1|C_{\max}$  problem with two types of jobs:

$$(1) \text{ } P\text{-job: } s_{1,j} = x_j, p_{1,j} = 1, s_{2,j} = 0, p_{2,j} = 1 \quad (j = 1, 2, \dots, 3n)$$

$$(2) \text{ } U\text{-job: } s_{1,j} = 0, p_{1,j} = 1, s_{2,j} = 1, p_{2,j} = 1 \quad (j = 1, 2, \dots, n)$$

The threshold  $y = 4n + 2 + (n + 1)b$  and the corresponding decision problem is: Is there a schedule  $S$  with makespan  $C(S)$  not greater than  $y = 4n + 2 + (n + 1)b$ ?

Observe that all processing times are equal to  $b$ . To prove this theorem we construct instance of the  $F2, S1|p_{i,j}=1|C_{\max}$  problem a schedule  $S_0$  satisfying  $C_{\max}(S_0) \leq y = 4n + 2 + (n + 1)b$  exists if and only if  $3-Partition$  has a solution.

Suppose that  $3-Partition$  has a solution, and  $X_j (j = 1, 2, \dots, n)$  are the required

subsets of set  $X$ . Notice each set  $X_j$  contains precisely elements, since

$$b/4 < x_j < b/2, \quad \text{and} \quad \sum_{j=1}^{3n} x_j = nb \quad \text{for all } j = 1, 2, \dots, n.$$

Let  $\sigma$  denote a sequence of the elements of set  $X$  for which  $X_j = \{\sigma(3j-2), \sigma(3j-1), \sigma(3j)\}$ , for  $j = 1, 2, \dots, n$ . The desired schedule  $S_0$  exists and can be described as follows.

(a) No machine has intermediate idle time,

(b) Machine  $M_1$  process the  $P$ -jobs and  $U$ -jobs in order of the sequence  $\sigma$ ,

$$\sigma = (P_{\sigma(1,1)}, P_{\sigma(1,2)}, P_{\sigma(1,3)}, U_{1,1}, P_{\sigma(1,4)}, P_{\sigma(1,5)}, P_{\sigma(1,6)}, U_{1,2}, \dots, P_{\sigma(1,3n-2)}, P_{\sigma(1,3n-1)}, P_{\sigma(1,3n)}, U_{1,n})$$

(c) While machine  $M_2$  process the  $P$ -jobs and  $U$ -jobs in the order of sequence  $\tau$ ,

$$\tau = (U_{2,1}, P_{\sigma(2,1)}, P_{\sigma(2,2)}, P_{\sigma(2,3)}, U_{2,2}, \dots, U_{2,r}, P_{\sigma(2,3n-2)}, P_{\sigma(2,3n-1)}, P_{\sigma(2,3n)})$$

as indicated in Figure 1.

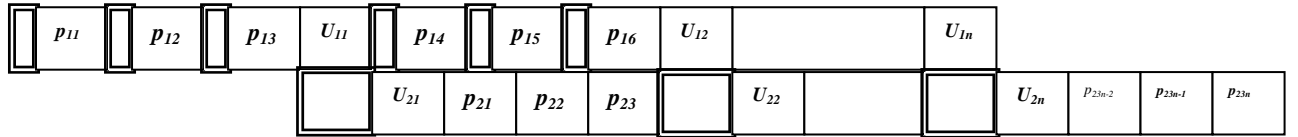


Figure 1: Gantt chart for the  $F2, S1 | p_{i,j} = 1 | C_{\max}$  problem

Then we define sequences  $\sigma$  and  $\tau$  shown in Figure 1. Obviously, these sequences  $\sigma$  and  $\tau$  fulfills  $C(\sigma, \tau) \leq y$ . Conversely, assume that this flow-shop scheduling problem has a solution  $\sigma$  and  $\tau$  with  $C(\sigma, \tau) \leq y$ . By setting  $\sigma(j) = j (j = 1, 2, 3)$  in (1), we get for all sequences  $\sigma$  and  $\tau$ :

$$C(\sigma, \tau) \geq (s_{1,1} + p_{1,1} + s_{1,2} + p_{1,2} + s_{1,3} + p_{1,3}) + \sum_{\lambda=1}^n (s_{2,\tau_\lambda} + p_{2,\tau_\lambda}) = 4n + 2 + (n+1)b = y.$$

Thus, for these sequences  $\sigma$  and  $\tau$  with  $C(\sigma, \tau) = y$ . We may conclude that:

(3) machine  $M_1$  process jobs in the interval  $[0, (n-1) + n(3+b)]$ , without idle times. In the interval  $[(3+b+1)j, j+(j+1)(3+b)]$ , ( $j=1, 2, \dots, n-1$ ), machine  $M_1$  process  $P$ -jobs, in the interval  $[j-1 + j(3+b), j(3+b+1)]$ , ( $j=1, 2, \dots, n$ ) machine  $M_1$  process  $U$ -jobs, (4) machine  $M_2$  process jobs in the interval  $[3+b, 4n+2 + (n+1)b]$ , without idle times. In the interval  $[9j-10 + j(3+b), (j-10 + j(3+b)+b+1)]$ , ( $j=1, 2, \dots, n$ ) machine  $M_2$  process  $U$ -jobs, in the interval  $[(j-1) + j(3+b+b+1), (j-1) + j(3+b)+b+1+3]$  machine  $M_2$  process  $P$ -jobs. Now, we will prove that the

$$\sum_{i \in X_1} (s_{1,i} + p_{1,i}) = 4b. \text{ If } \sum_{i \in X_1} (s_{1,i} + p_{1,i}) \geq 4b,$$

then  $U_{21}$ -job cannot start processing at time  $4b$ , which contradicts (4). If

$\sum_{i \in X_1} (s_{1,i} + p_{1,i}) \leq 4b$ , then there is idle time before machine  $M_1$  process job  $U_{1,1}$ ,

which contradicts (3). Thus, we have

$$\sum_{i \in X_1} (s_{1,i} + p_{1,i}) = 4b.$$

Since  $p_{1,1} = p_{1,2} = p_{1,3} = b, s_{1,i} = x_i$ , then

$$\sum_{i \in X_1} (s_{1,i} + p_{1,i}) = (s_{1,1} + p_{1,1} + s_{1,2} + p_{1,2} + s_{1,3} + p_{1,3}) = 3b + \sum_{i \in X_1} x_i = 4b, \sum_{i \in X_1} x_i = b.$$

The set  $X_1$  give a solution to 3-Partition.

Analogously, we show that the remaining sets  $X_2, X_3, \dots, X_n$  separated by the jobs  $1, 2, \dots, n$  contain 3-element and fulfill  $\sum_{i \in X_j} x_i = b$  for  $j=1, 2, \dots, n$ . Thus,

$X_1, X_2, \dots, X_n$  define a solution of 3-Partition. □

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