

New inequalities of the real parts of the zeros of polynomials

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Abstract

In this paper, we find new inequalities of the real parts of the zeros of polynomials, and a new inequalities of the zeros and critical points of polynomials.

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1 Introduction

The problem of finding regions that contain some or all eigenvalues of matrices or zeros of polynomials has a long history. For example Cauchy gave an easily-calculated circular bound for complex coefficient polynomial zeros. Very recently research on this problem was based on Cauchy's work. For matrix eigenvalues, Gershgorin theorem is very powerful tool for improving existing

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bounds or computing new ones. It can be used to find disks whose union contains all eigenvalues of a complex matrix. Such results may be applied to analyze the stability or the relative stability of discrete-time systems. They may also be applied to determine the eigenvalue distribution in certain disks for continuous-time systems, by shifting the origin of the s-plane. In recent years, numerous papers and comprehensive books have been published, for finding circular bounds of polynomial zeros which have real or complex coefficients.

2 Preliminary Notes

Definition 2.1 [2] Let f be a polynomial of degree $n \geq 3$, with complex coefficients, and let z_1, z_2, \dots, z_n be the zeros of f .

Let $D = \begin{bmatrix} z_1 & 0 & \cdots & 0 \\ 0 & z_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & z_{n-1} \end{bmatrix}$, I , and J be the identity matrix of order $(n-1)$ and the

$(n-1) \times (n-1)$ matrix with all entries equal to 1, respectively. Then the $(n-1) \times (n-1)$ derivative companion matrix of f is given by

$$C(f') = D \left(I - \frac{1}{n} J \right) + \frac{z_n}{n} J,$$

which is called a D -companion matrix of f .

Theorem 2.1 [3]. If $A, B, C \in M_n(\mathbb{C})$, then

$$\|ABC\|_p \leq \|A\| \|B\|_p \|C\|.$$

Lemma 2.1 [4].

If z any zero of $P(z) = z^n + a_n z^{n-1} + \dots + a_2 z + a_1$, then

$$|z| \leq \cos \frac{\pi}{n+1} + \frac{1}{2} \left(|a_n| + \sqrt{\sum_{j=1}^n |a_j|^2} \right).$$

Lemma 2.2 [5].

For $j = 1, 2, \dots, n$, we have

$$\begin{aligned} & \frac{1}{2} \left(-\operatorname{Re} a_n - \sqrt{(\operatorname{Re} a_n)^2 + \sum_{j=1}^{n-1} |a_j|^2} \right) + \cos \frac{n\pi}{n+1} \leq \operatorname{Re} z_j \\ & \leq \frac{1}{2} \left(-\operatorname{Re} a_n + \sqrt{(\operatorname{Re} a_n)^2 + \sum_{j=1}^{n-1} |a_j|^2} \right) + \cos \frac{\pi}{n+1} \end{aligned}$$

Lemma 2.3 [5]. If the zeros of $P(z) = z^n + a_n z^{n-1} + \dots + a_2 z + a_1$ are arranged in such a way that is $\operatorname{Re} z_1 \geq \operatorname{Re} z_2 \geq \dots \geq \operatorname{Re} z_n$, then

$$\sum_{j=1}^k \operatorname{Re} z_j \leq \frac{1}{2} \left(-\operatorname{Re} a_n + \sqrt{(\operatorname{Re} a_n)^2 + \sum_{j=1}^{n-1} |a_j|^2} \right) + \frac{1}{2} \left(\frac{\sin \left(\frac{2k+1}{2n+2} \right) \pi}{\sin \frac{\pi}{2n+2}} \right) - \frac{1}{2}$$

for $k = 1, 2, \dots, n-1$ and

$$\sum_{j=1}^n \operatorname{Re} z_j = \operatorname{Re} a_n.$$

Lemma 2.4 [7].

Let $A, B \in M_n(\mathbb{C})$ be a conjugate normal matrices. Then

$$\left(\operatorname{Re} \overline{\mu(A)} \right) + \left(\operatorname{Re} \overline{\mu(B)} \right) \succ_w \operatorname{Re} \mu(A+B).$$

Lemma 2.5 [2].

Let $A = [a_{ij}] \in M_n(\mathbb{C})$. if $0 < p \leq 2$, then $\sum_{j=1}^n s_j^p(A) \leq \sum_{i,j=1}^n |a_{ij}|^p$.

Lemma 2.6 [6].

Let $A = [a_{ij}] \in M_n(\mathbb{C})$. Then for $p > 0$, $\sum_{j=1}^n |\lambda_j(A)|^p \leq \sum_{j=1}^n s_j^p(A)$.

Lemma 2.7 [1].

Let z_1, z_2, \dots, z_n be the zeros of polynomials f of degree $n \geq 3$ and w_1, w_2, \dots, w_{n-1} be the critical points of f . Then for $p \geq 1$, we have

$$\left(\sum_{i=1}^{n-1} |w_i|^p \right)^{\frac{1}{p}} \leq \left(\sum_{i=1}^{n-1} |z_i|^p \right)^{\frac{1}{p}} + \left(\frac{n-1}{n} \right) |z_n|, \quad (1)$$

$$\left(\sum_{i=1}^{n-1} |w_i|^p \right)^{\frac{1}{p}} \leq \left((n-2) + \frac{1}{n^p} \right)^{\frac{1}{p}} \max_{1 \leq i \leq n-1} \{|z_i|\} + \left(\frac{n-1}{n} \right) |z_n|, \quad (2)$$

$$\left(\sum_{i=1}^{n-1} |w_i|^p \right)^{\frac{1}{p}} \leq \left(\sum_{i=1}^{n-1} |z_i|^p \right)^{\frac{1}{p}} + \sqrt{\frac{n-1}{n^2} \sum_{i=1}^{n-1} |z_n - z_i|^2}. \quad (3)$$

3 Main Results

Theorem 3.1 If z is any zero of $p(z) = z^n + r z^{n-1} + \dots + r z + r$, for any scalar r .

Then

$$|z| \leq \cos \frac{\pi}{n+1} + \frac{1}{2} (|r| + \sqrt{n r^2})$$

Proof.

The companion matrix of $p(z)$ is $C(p) = \begin{bmatrix} -r & -r & -r & \cdots & -r \\ 1 & 0 & 0 & \cdots & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \end{bmatrix}$

By Lemma 1 we have $|z| \leq \cos \frac{\pi}{n+1} + \frac{1}{2} \left(|a_n| + \sqrt{\sum_{j=1}^n |a_j|^2} \right)$, and hence

$$|z| \leq \cos \frac{\pi}{n+1} + \frac{1}{2} (|r| + \sqrt{n r^2}).$$

□

Example 2.1

If $p(z) = z^4 + r z^3 + r z^2 + r z + r$, then $|z| \leq \cos \frac{\pi}{5} + \frac{3}{2}|r|$.

Theorem 3.2 If z is any zero of $p(z) = z^n + z^{n-1} + \dots + z + 1$. Then

$$\frac{1}{2}(-1 - \sqrt{1 + (n-1)}) + \cos \frac{n\pi}{n+1} \leq \operatorname{Re} z_j \leq \frac{1}{2}(-1 + \sqrt{1 + (n-1)}) + \cos \frac{\pi}{n+1}$$

Proof.

$$\operatorname{Re} C(p) = \begin{bmatrix} -1 & 0 & -1/2 & \cdots & -1/2 \\ 0 & 0 & 1/2 & \cdots & 0 \\ -1/2 & 1/2 & 0 & \ddots & 1/2 \\ \vdots & \vdots & \ddots & \ddots & 1/2 \\ -1/2 & 0 & 0 \cdots & 1/2 & 0 \end{bmatrix}.$$

Thus, $\operatorname{Re} C(p) = S_n + T_n$, where S_n is partitioned matrix

$$S_n = \begin{bmatrix} -1 & x^* \\ x & 0 \end{bmatrix}$$

with $x = \left[-\frac{1}{2}, -\frac{1}{2}, \dots, -\frac{1}{2} \right]^t$, and T_n is the $n \times n$ tridiagonal matrix

$$T_n = \begin{bmatrix} 0 & 1/2 & 0 & \cdots & 0 \\ 1/2 & 0 & 1/2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & 1/2 \\ 0 & 0 & 0 & 1/2 & 0 \end{bmatrix}.$$

The eigenvalue of S_n are

$$\lambda_1(S_n) = \frac{1}{2}(-1 + \sqrt{1 + (n-1)}),$$

$$\lambda_n(S_n) = \frac{1}{2}(-1 - \sqrt{1 + (n-1)}),$$

and

$$\lambda_j(S_n) = 0 \text{ for } j = 1, 2, \dots, n-1.$$

It is well known that the eigenvalue of T_n are

$$\lambda_j(T_n) = \cos \frac{j\pi}{n+1} \text{ for } j = 1, 2, \dots, n.$$

and hence by Lemma 2 we have,

$$\frac{1}{2}(-1 - \sqrt{1 + (n-1)}) + \cos \frac{n\pi}{n+1} \leq \operatorname{Re} z_j \leq \frac{1}{2}(-1 + \sqrt{1 + (n-1)}) + \cos \frac{\pi}{n+1}$$

$$\text{for } j = 1, 2, \dots, n.$$

□

Example 3.2

$$\text{If } p(z) = z^4 + z^3 + z^2 + z + 1, \text{then } -\frac{3}{2} + \cos \frac{4\pi}{5} \leq \operatorname{Re} z_j \leq \frac{1}{2} + \cos \frac{\pi}{5} .$$

Theorem 3.3 If $A = \operatorname{diag}(r, r, \dots, r)$. Then $\sum_{j=1}^n s_j^2(A) \leq n r^2$.

Proof. By Lemma 5, we have

$$\sum_{j=1}^n s_j^p(A) \leq \sum_{i,j=1}^n |a_{ij}|^p$$

Put $|a_{ij}| = |r|$, for $i, j = 1, 2, \dots, n$ and $p=2$, we have $\sum_{j=1}^n s_j^2(A) \leq n r^2$. □

Theorem 3.4 Let $A = [a_{ij}] \in M_n(\mathbb{C})$, have two eigenvalues. Then

$$|\lambda_1|^2 + |\lambda_2|^2 \leq (s_1 + s_2)^2.$$

Proof. By Lemma 6, we have

$$\sum_{j=1}^n |\lambda_j(A)|^p \leq \sum_{j=1}^n s_j^p(A).$$

By taking $p=2$, we have the result.

Theorem 3.5 Let $A = [a_{ij}] \in M_n(\mathbb{C})$, if $0 < p \leq 2$. Then

$$\sum_{j=1}^n |\lambda_j(A)|^p \leq \sum_{i,j=1}^n |a_{ij}|^p.$$

Proof. By Lemma 5, we have

$$\sum_{j=1}^n s_j^p(A) \leq \sum_{i,j=1}^n |a_{ij}|^p.$$

and by Lemma 6, we have

$$\sum_{j=1}^n |\lambda_j(A)|^p \leq \sum_{j=1}^n s_j^p(A).$$

Hence, the result is hold

$$\sum_{j=1}^n |\lambda_j(A)|^p \leq \sum_{i,j=1}^n |a_{ij}|^p. \quad \square$$

Corollary 2.1

Let $A = [a_{ij}] \in M_n(\mathbb{C})$. Then $\sum_{j=1}^n |\lambda_j(A)|^2 \leq \sum_{i,j=1}^n |a_{ij}|^2$.

Proof. By Theorem 2.5, we have

$$\sum_{j=1}^n |\lambda_j(A)|^p \leq \sum_{i,j=1}^n |a_{ij}|^p$$

By taking $p=2$ in Theorem 5, we get the result. \square

Theorem 3.6 If the zeros of $P(z) = z^n + r z^{n-1} + \dots + r z + r$ for $r = x + iy$ is any complex number are arranged in such a way that is $\operatorname{Re} z_1 \leq \operatorname{Re} z_2 \leq \dots \leq \operatorname{Re} z_n$, then

$$\sum_{j=1}^n \operatorname{Re} z_j \leq \frac{1}{2} \left(-x + \sqrt{x^2 + (n-1)(x^2 + y^2)} \right) + \frac{1}{2} \left(\frac{\sin \left(\frac{2k+1}{2n+2} \pi \right)}{\sin \frac{\pi}{2n+2}} \right) - \frac{1}{2}$$

for $k=1,2,\dots,n-1$ and

$$\sum_{j=1}^n \operatorname{Re} z_j = x.$$

Proof. By Lemma 3 we have

$$\sum_{j=1}^k \operatorname{Re} z_j \leq \frac{1}{2} \left(-\operatorname{Re} a_n + \sqrt{(\operatorname{Re} a_n)^2 + \sum_{j=1}^{n-1} |a_j|^2} \right) + \frac{1}{2} \left(\frac{\sin \left(\frac{2k+1}{2n+2} \pi \right)}{\sin \frac{\pi}{2n+2}} \right) - \frac{1}{2}$$

By taking $a_j = r = x + iy$ for $j=1,2,\dots,n$, where $x, y \in \mathbb{R}$ and $\operatorname{Re}(x+iy) = x$.

Hence, we get the result. \square

Theorem 3.7 If $A \in M_n(\mathbb{C})$ be conjugate normal matrix, then

$$\operatorname{Re}(\overrightarrow{\mu(A)}) \succ_w \operatorname{Re}(\mu(A)).$$

Proof. By Lemma 4, we have

$$(\operatorname{Re}(\overrightarrow{\mu(A)})) + (\operatorname{Re}(\overrightarrow{\mu(B)})) \succ_w \operatorname{Re}(\mu(A+B)).$$

By taking $B=A$, we have.

$$(\operatorname{Re}(\overrightarrow{\mu(A)})) + (\operatorname{Re}(\overrightarrow{\mu(A)})) \succ_w \operatorname{Re}(\mu(2A)).$$

$$(2\operatorname{Re}(\overrightarrow{\mu(A)})) \succ_w \operatorname{Re}(\mu(2A)).$$

But $\operatorname{Re}(\mu(2A)) = 2\operatorname{Re}(\mu(A))$. Hence, we get the result. \square

Theorem 3.8 Let z_1, z_2, \dots, z_n be the zeros of polynomials f of degree $n \geq 3$ and w_1, w_2, \dots, w_{n-1} be the critical points of f . Then we have

$$\left(\sum_{i=1}^{n-1} |w_i|^2 \right) \leq \left(\sum_{i=1}^{n-1} |z_i|^2 \right) + 2 \left(\sum_{i=1}^{n-1} |z_i|^2 \right)^{\frac{1}{2}} \left(\frac{n-1}{n} \right) |z_n| + \left(\left(\frac{n-1}{n} \right) |z_n| \right)^2, \quad (4)$$

$$\begin{aligned} \left(\sum_{i=1}^{n-1} |w_i|^2 \right) &\leq \left((n-2) + \frac{1}{n^2} \right) \left(\max_{1 \leq i \leq n-1} \{|z_i|\} \right)^2 + \\ &\quad 2 \left((n-2) + \frac{1}{n^2} \right)^{\frac{1}{2}} \left(\max_{1 \leq i \leq n-1} \{|z_i|\} \right) \left(\frac{n-1}{n} \right) |z_n| \\ &\quad + \left(\left(\frac{n-1}{n} \right) |z_n| \right)^2, \end{aligned} \quad (5)$$

$$\begin{aligned} \left(\sum_{i=1}^{n-1} |w_i|^2 \right) &\leq \left(\sum_{i=1}^{n-1} |z_i|^2 \right) + 2 \left(\sum_{i=1}^{n-1} |z_i|^2 \right)^{\frac{1}{2}} \sqrt{\frac{n-1}{n^2} \sum_{i=1}^{n-1} |z_n - z_i|^2} + \\ &\quad \frac{n-1}{n^2} \sum_{i=1}^{n-1} |z_n - z_i|^2. \end{aligned} \quad (6)$$

Proof. By Lemma 7 for $p \geq 1$, we have

$$\begin{aligned} \|C(f')\|_2 &= \left\| D \left(I - \frac{1}{n} J \right) + \frac{z_n}{n} J \right\|_2 \leq \left\| D \left(I - \frac{1}{n} J \right) \right\|_2 + \left\| \frac{z_n}{n} J \right\|_2 \\ &\leq \|D\|_2 \left\| \left(I - \frac{1}{n} J \right) \right\| + \left\| \frac{z_n}{n} J \right\|_2 \\ &= \left(\sum_{i=1}^{n-1} |z_i|^2 \right)^{\frac{1}{2}} + \left(\frac{n-1}{n} \right) |z_n|. \\ \left(\sum_{i=1}^{n-1} |w_i|^2 \right)^{\frac{1}{2}} &\leq \left(\sum_{i=1}^{n-1} |z_i|^2 \right)^{\frac{1}{2}} + \left(\frac{n-1}{n} \right) |z_n|. \end{aligned}$$

By square both sides we get the results of (4).

For (5), we have

$$\begin{aligned} \|C(f')\|_2 &= \left\| D \left(I - \frac{1}{n} J \right) + \frac{z_n}{n} J \right\|_2 \leq \left\| D \left(I - \frac{1}{n} J \right) \right\|_2 + \left\| \frac{z_n}{n} J \right\|_2 \\ &\leq \left\| \left(I - \frac{1}{n} J \right) \right\|_2 \|D\| + \left\| \frac{z_n}{n} J \right\|_2 \\ &= \left((n-2) + \frac{1}{n^2} \right)^{\frac{1}{2}} \max_{1 \leq i \leq n-1} \{|z_i|\} + \left(\frac{n-1}{n} \right) |z_n|. \end{aligned}$$

$$\left(\sum_{i=1}^{n-1} |w_i|^2 \right)^{\frac{1}{2}} \leq \left((n-2) + \frac{1}{n^2} \right)^{\frac{1}{2}} \max_{1 \leq i \leq n-1} \{|z_i|\} + \left(\frac{n-1}{n} \right) |z_n|.$$

By square both sides we get the result of (5).

Now for (6) we have,

$$C(f') = D + \frac{1}{n} (z_n J - DJ) = D + \frac{1}{n} \begin{bmatrix} z_n - z_1 & z_n - z_1 & \cdots & z_n - z_1 \\ z_n - z_2 & z_n - z_2 & \cdots & z_n - z_2 \\ \vdots & \vdots & \ddots & \vdots \\ z_n - z_{n-1} & z_n - z_{n-1} & \cdots & z_n - z_{n-1} \end{bmatrix} = D + \frac{1}{n} E,$$

where

$$E = \begin{bmatrix} z_n - z_1 & z_n - z_1 & \cdots & z_n - z_1 \\ z_n - z_2 & z_n - z_2 & \cdots & z_n - z_2 \\ \vdots & \vdots & \ddots & \vdots \\ z_n - z_{n-1} & z_n - z_{n-1} & \cdots & z_n - z_{n-1} \end{bmatrix}.$$

Note that $\text{rank}(E) \leq 1$

$$\begin{aligned} \left(\sum_{i=1}^{n-1} |w_i|^p \right)^{\frac{1}{p}} &\leq \left(\sum_{i=1}^{n-1} |z_i|^p \right)^{\frac{1}{p}} + \left(\frac{n-1}{n} \right) |z_n|, \\ \left(\sum_{i=1}^{n-1} |w_i|^p \right)^{\frac{1}{p}} &\leq \left((n-2) + \frac{1}{n^p} \right)^{\frac{1}{p}} \max_{1 \leq i \leq n-1} \{|z_i|\} + \left(\frac{n-1}{n} \right) |z_n|, \\ \left(\sum_{i=1}^{n-1} |w_i|^p \right)^{\frac{1}{p}} &\leq \left(\sum_{i=1}^{n-1} |z_i|^p \right)^{\frac{1}{p}} + \sqrt{\frac{n-1}{n^2} \sum_{i=1}^{n-1} |z_n - z_i|^2}. \end{aligned}$$

By Definition 1 and Theorem 1 and taking $p=2$, we have

$$\begin{aligned} \|C(f')\|_2 &= \left\| D \left(I - \frac{1}{n} J \right) + \frac{z_n}{n} J \right\|_2 \leq \left\| D \left(I - \frac{1}{n} J \right) \right\|_2 + \left\| \frac{z_n}{n} J \right\|_2 \\ &\leq \|D\|_2 \left\| I - \frac{1}{n} J \right\| + \left\| \frac{z_n}{n} J \right\|_2 \\ &= \left(\sum_{i=1}^{n-1} |z_i|^2 \right)^{\frac{1}{2}} + \left(\frac{n-1}{n} \right) |z_n|. \end{aligned}$$

$$\left(\sum_{i=1}^{n-1} |w_i|^2 \right)^{\frac{1}{2}} \leq \left(\sum_{i=1}^{n-1} |z_i|^2 \right)^{\frac{1}{2}} + \left(\frac{n-1}{n} \right) |z_n|.$$

By square both sides we get the results of (4).

For (5), we have

$$\begin{aligned} \|C(f')\|_2 &= \left\| D \left(I - \frac{1}{n} J \right) + \frac{z_n}{n} J \right\|_2 \leq \left\| D \left(I - \frac{1}{n} J \right) \right\|_2 + \left\| \frac{z_n}{n} J \right\|_2 \\ &\leq \left\| \left(I - \frac{1}{n} J \right) \right\|_2 \|D\| + \left\| \frac{z_n}{n} J \right\|_2 \\ &= \left((n-2) + \frac{1}{n^2} \right)^{\frac{1}{2}} \max_{1 \leq i \leq n-1} \{|z_i|\} + \left(\frac{n-1}{n} \right) |z_n|. \\ \left(\sum_{i=1}^{n-1} |w_i|^2 \right)^{\frac{1}{2}} &\leq \left((n-2) + \frac{1}{n^2} \right)^{\frac{1}{2}} \max_{1 \leq i \leq n-1} \{|z_i|\} + \left(\frac{n-1}{n} \right) |z_n|. \end{aligned}$$

By square both sides we get the result of (5).

Now for (6) we have,

$$C(f') = D + \frac{1}{n} (z_n J - DJ) = D + \frac{1}{n} \begin{bmatrix} z_n - z_1 & z_n - z_1 & \cdots & z_n - z_1 \\ z_n - z_2 & z_n - z_2 & \cdots & z_n - z_2 \\ \vdots & \vdots & \ddots & \vdots \\ z_n - z_{n-1} & z_n - z_{n-1} & \cdots & z_n - z_{n-1} \end{bmatrix} = D + \frac{1}{n} E,$$

where

$$E = \begin{bmatrix} z_n - z_1 & z_n - z_1 & \cdots & z_n - z_1 \\ z_n - z_2 & z_n - z_2 & \cdots & z_n - z_2 \\ \vdots & \vdots & \ddots & \vdots \\ z_n - z_{n-1} & z_n - z_{n-1} & \cdots & z_n - z_{n-1} \end{bmatrix}.$$

Note that $\text{rank}(E) \leq 1$

$$E^* E = \begin{bmatrix} \overline{z_n - z_1} & \overline{z_n - z_2} & \cdots & \overline{z_n - z_{n-1}} \\ \overline{z_n - z_1} & \overline{z_n - z_2} & \cdots & \overline{z_n - z_{n-1}} \\ \vdots & \vdots & \ddots & \vdots \\ \overline{z_n - z_1} & \overline{z_n - z_2} & \cdots & \overline{z_n - z_{n-1}} \end{bmatrix} \begin{bmatrix} z_n - z_1 & z_n - z_1 & \cdots & z_n - z_1 \\ z_n - z_2 & z_n - z_2 & \cdots & z_n - z_2 \\ \vdots & \vdots & \ddots & \vdots \\ z_n - z_{n-1} & z_n - z_{n-1} & \cdots & z_n - z_{n-1} \end{bmatrix}$$

$$\begin{aligned}
&= \begin{bmatrix} \sum_{i=1}^{n-1} |z_n - z_i|^2 & \sum_{i=1}^{n-1} |z_n - z_i|^2 & \cdots & \sum_{i=1}^{n-1} |z_n - z_i|^2 \\ \sum_{i=1}^{n-1} |z_n - z_i|^2 & \sum_{i=1}^{n-1} |z_n - z_i|^2 & \cdots & \sum_{i=1}^{n-1} |z_n - z_i|^2 \\ \vdots & \vdots & \ddots & \vdots \\ \sum_{i=1}^{n-1} |z_n - z_i|^2 & \sum_{i=1}^{n-1} |z_n - z_i|^2 & \cdots & \sum_{i=1}^{n-1} |z_n - z_i|^2 \end{bmatrix} \\
&= \left(\sum_{i=1}^{n-1} |z_n - z_i|^2 \right) J.
\end{aligned}$$

Hence, $\sigma(E^*E) = \{(n-1)\sum_{i=1}^{n-1} |z_n - z_i|^2, 0\}$, where 0 is of multiplicity n-2. So

$$s_1\left(\frac{1}{n}E\right) = \sqrt{\frac{n-1}{n^2} \sum_{i=1}^{n-1} |z_n - z_i|^2}, s_j\left(\frac{1}{n}E\right) = 0 \text{ for } j=2,3,\dots,n-2$$

Now,

$$\begin{aligned}
\left(\sum_{i=1}^{n-1} |w_i|^2 \right)^{\frac{1}{2}} &\leq \|C(f')\|_2 = \left\| D + \frac{1}{n} E \right\|_2 \leq \|D\|_2 + \left\| \frac{1}{n} E \right\|_2 \\
&= \left(\sum_{i=1}^{n-1} |z_i|^2 \right)^{\frac{1}{2}} + \sqrt{\frac{n-1}{n^2} \sum_{i=1}^{n-1} |z_n - z_i|^2}. \\
\left(\sum_{i=1}^{n-1} |w_i|^2 \right)^{\frac{1}{2}} &\leq \left(\sum_{i=1}^{n-1} |z_i|^2 \right)^{\frac{1}{2}} + \sqrt{\frac{n-1}{n^2} \sum_{i=1}^{n-1} |z_n - z_i|^2}.
\end{aligned}$$

By square both sides we get the result of (6). \square

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